Advancing Open Access: What can you do?

SPARC/ACRL Forum
New Orleans, LA
June 25, 2011
Context for University of Kansas (KU)

- 1998
- No whining!
- Move beyond hand-wringing to solutions
- Do something!
Evolution of OA at KU

October 1998:
KU’s then-provost David Shulenburger speaks at the Association of Research Libraries’ Membership Meeting in Washington, D.C. (Titled “Moving with Dispatch to Resolve the Scholarly Communication Crisis: From Here to NEAR”)

March 2005:
KU becomes first member of American Association of Universities (AAU) to pass resolution to encourage self-archiving by its faculty (Titled “Resolution on Access to Scholarly Information”)

2005:
KU goes live with its institutional repository, KU ScholarWorks (built on Dspace)

October 2008 – April 2009:
Ad hoc committee designs & distributes faculty survey, holds two open meetings and responds to numerous individual & departmental communiqués

November 2007:
KU launches its hosted journal publications using Open Journal Systems

June 2010:
KU Interim Provost Danny Anderson accepts & approves OA policy, charging KU Libraries with implementation

February 2010:
Faculty Senate approves revised OA policy & endorses implementation plan

April 2009:
Faculty Senate approves KU’s first Open Access (OA) policy, requiring the charging of an implementation task force
Positioning KU for Open Access

• 2005: Resolution passed by KU University Council

• Resolution focused on:
  – Awareness of scholarly communication issues
  – Role of libraries
  – Creation of digital repository
  – Amendments
Shulenberger’s Seven Steps

- Create digital repository
- Engage administration
- Acknowledge distribution
- Support public access policies (NIH)
- Work with faculty/campus units
- Develop habits of depositing articles
- Develop a PR program and outreach strategies
KU ScholarWorks

• 2005: Provost requests faculty to populate KUSW (Institutional Repository)

• Libraries’ role
  – Work with faculty
  – Provide information about journal policies
  – Establish communities in KUSW (IR)
Implementing steps

• KU ScholarWorks

• Initiated discussion at Faculty Senate

• At outset involved administrators

• Worked with academic departments

• Identified early adopters

• Provide feedback on views/downloads of articles

• Increased PR efforts
Mandates work, requests do not

• Resolution at KU in 2005
  – Education
  – Outreach
  – Unmediated uploads
  – Sluggish faculty
Authors’ rule!

• Authors control:
  – Whether to submit their work to an OA journal
  – Whether to deposit their work in an OA institutional repository
  – Whether to transfer copyright
Awkward impasse

• Suber asserts that faculty
  – have an interest in OA as authors and as readers
  – control the solution
  – are not focused on OA
Note that:
- only 2 colleagues achieve 100% success
- no US colleague had 100% success
- access can be quite unsatisfactory, even in the US

Percent Success
- 10.000000 - 21.000000
- 21.000001 - 41.000000
- 41.000001 - 71.000000
- 71.000001 - 91.000000
- 91.000001 - 100.000000
Challenges

• Anxiety and fear
• Misinformation
• Concern over open access vs peer review
• Promotion and tenure
• Too busy to learn about OA
• Resentment
Mandates work, requests do not....

- 2008: Ad Hoc group charged by faculty senate to develop policy

- Faculty led initiative (+ librarians)

- April 2009: KU faculty senate passed vote on university-wide OA policy

- 2009: Approved by provost and chancellor

- 2009-2010: Develop implementation plan: Approved February 2010

- Provost’s designate for open access implementation
OA gaining momentum

Three Phases of Deposits

- Total since policy passage
- March 2010-May 18th 2011
- May 2009-February 2010 (policy passage)
- July 2008-April 2009 (pre-policy passage)
Role for Libraries

• Good fit with library’s mission
• Connect users with information
• Provide access to information
• Liaison model in place
Steps to elevate open access policy on campus

• OA Advisory Board
• Engage Chancellor, Provost, VCR and deans
• Engage faculty advocates for OA
• Establish OA liaisons across campus
• Encourage librarians to lead by example
• Participate in OA week; great for outreach especially to graduate students!
Steps to elevate OA on campus...

• Provide mediated services
  – Policy analysis
  – Upload pubs as appropriate
  – Acknowledge distribution

• Distribute announcements of OA policy adoption (Trinity; Duke; Emory; WU etc.)

• High visibility of collaboration between ScholComm librarian and OA faculty
Elevate OA on campus and beyond...

• Encourage librarians to serve in governance roles
• Take a stand! (“Copyfight”)
• Highlight success stories of author rights
• Remind HE leaders who supported FRPAA; take OA support to global level

See:
http://www.arl.org/sparc/advocacy/frpaa/institutions.shtml
Points to ponder…

• Each campus has its own political climate/culture; one shoe does not fit all
• Faculty-led initiative: faculty have to own it!
• Role for libraries/librarians
• The real work starts after the OA policy has been adopted
• Mediated services are attractive to faculty
• Often unfunded mandate or limited funding
Why sign the Berlin Declaration?

1. University research matters; maximize its impact. Tie it to the mission of your university.

2. Ethical rationale: university research is a public good. It brings knowledge to the layperson who pays taxes to support university research.

3. Major research funders require OA (NIH).

4. Take a stand against (some) major publishers' "profit above all" policies.
Why sign....?

- To remind scientists about the main OA publishing channels
- To support initiatives for new OA journals
- To support initiatives to bring existing journals to OA
Why sign…?

• To join an already large movement to make open access a global movement

• Help build consensus among universities, research funding organizations and politicians
Berlin Declaration

• What can you do?
  – Review list of leaders in HE who have signed letters in support of FRPAA. Remind them.
  – Engage administrators; use SPARC talking points
  – Get the Berlin 9 conference on their calendar
  – Engage faculty
  – OA advisory board
  – Liaisons
Support for the Berlin Declaration
What can you do?

• Distributed the Berlin Declaration to OA Advisory Board for support
• Solicited support from OA liaisons
• Provided and discussed the Berlin Declaration with Provost and Chancellor
• Highlight impact of open scholarship in campus publications
University mandates

- Check ROARMAP to see if your institution’s OA policy is registered in
Thank you!

ALONE YOU MAY GO FASTER; TOGETHER WE CAN GO FURTHER

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