

An Open Access Publications Policy and Embedding

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Digital Library Development Manager

Berlin9, Washington DC
8 November 2011



University of Glasgow

- Founded in 1451
- 2nd oldest University in Scotland (4th UK)
- 6000 Staff
 - 2,600 Researchers
- 19,900 Students
 - 15000 undergrads
 - 4900 postgrads
- In the top 1% of the world's universities



Open Access in Scotland

- Scottish Declaration on Open Access launched October 2004
 - all Scottish Universities are signatories

<http://scurl.ac.uk/WG/OATS/declaration.htm>
- Actions on institutions include:
 - Set up institutional repositories, and/or liaise with other organisations to establish a joint repository.
 - Encourage, and where practical mandate, researchers to deposit copies of their outputs (articles, reports, conference papers, etc) in an institutional or co-operative repository.
- The performance of Scotland's research base

http://www.nexxusscotland.com/news/report_finds_scotlandworld_leader_science_and_research
- ERIS project 2009-11: taking forward the Open Access agenda in Scotland



University of Glasgow

Enlighten

Project ID: 51557 (FULL+CM)
Title: Enrich - Enlighten and Research System Integration - Research Information Lifecycle

Project Summary
Project Lead: Mr Susan Ashworth
Project People: Mr William Nixon, Miss Valerie McCutcheon
Keywords:

Applications and Awards

App ID	Funder	Type	Start Date	Date Sent	Outcome	Status	Del	App	Award ID	FS	Award
1	JISC	GRANT	01/04/2009	11/02/2009	Funded	Complete	No	Select	1	90129	Select

Actions

Type	Activity Type	Status	Date Due
application	PARAFRASE	Completed	27/03/2009
application	INFO	Completed	27/03/2009
application	INFO	Completed	17/03/2009
application	INFO	Completed	27/03/2009

Ethics
-
Clinical Trials
-
Abstract
-

Date Created: 02/02/2009 17:08:06 Created By: Miss Valerie McCutcheon
Project Updated: 18/09/2009 15:43:28 Updated By: Miss Valerie McCutcheon

REF2014

University of Glasgow

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Enlighten

Research publications by members of the University of Glasgow

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Quick Search: Authors, Title, Keywords and Fulltext
Type the keyword(s) for published papers you want to find.
For example:
▪ jaagsiekte sheep retrovirus
▪ ancient lothian
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UNIVERSITY PUBLICATIONS POLICY
At the meeting of Senate on 5th June 2008 a new University Publications Policy was approved. The key aim of the new policy is to maximise the impact of the University's research by making publications as widely and freely available as possible through the University's institutional repository Enlighten. The policy will apply from the beginning of the 2008/2009 academic session.

KEY INFORMATION FOR GLASGOW AUTHORS
Enlighten also provides University of Glasgow authors with advice on how to deposit papers in the service and information on related issues such as open access publishing and complying with funding body open access policies.

Latest Additions
Published Papers
Theses

Latest News
Publications policy

Latest Statistics
Over 1.4m published papers downloaded since February 2004

Other Services
Glasgow Theses Service

University of Glasgow, University Avenue, Glasgow G12 8QQ, United Kingdom
tel: +44 (0)141 330 2000



Google™

UK



home > Staff A-Z

Contact details

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email: William.Nixon@glasgow.ac.uk

Internal portal address:
R24 Level 5
Information Systems
Library

Selected publications

by date | by item type | by keyword

2010
Nixon, W. (2010) Enrich: improving integration between an institutional repository and a CRIS at the University of Glasgow. In: Newby, R. W. and Thelmann, N. (eds.) Connecting Science with Society: The Role of Research Information in a Knowledge Based Society. Taylor & Francis, Ashgate, Donhead, pp. 35-44. ISBN 9781851967914

2007

Publications Policy
Approved by Senate on 5 June 2008

Introduction
The University's ambition as stated in its strategic plan, "Building on Excellence" and Research Strategy 2006-2010 is to be one of the world's Top 50 research intensive universities.

In 2006 the Government announced its intention to replace the existing RAE with the Research Excellence Framework (REF), an assessment based on metrics, including bibliometrics in the form of citations to papers. HEFCE states that:

The REF will consist of a single unified framework for the funding and assessment of research across all subjects. It will make greater use of quantitative indicators in the assessment of research quality than the present system, while taking account of key differences between the different disciplines. Assessment will combine quantitative indicators - including bibliometric indicators wherever these are appropriate - and light-touch expert review, which of these elements are employed, and the balance between them, will vary as appropriate to each subject. [2]

Following a recent consultation HEFCE have decided that future assessments will include some form of metrics-based assessment, including bibliometrics, as well as peer review for all (not just STEM) subjects.

The University recognises that research publications, as one of the main outputs of research, are a key asset. As such, they should be managed in a way that ensures that they provide maximum value both to individual members of the academic community, whose research output they represent, and collectively to the institution. The academic community has an essential role to play in the generation and publication of research publications while the University has an important role to play both to support and assist academic staff, and as the curator of the assets.

Objectives
The objectives of this policy are:

- To increase the visibility of research publications produced by staff employed by or associated with the University of Glasgow;
- To ensure that research outputs are prepared and curated in a way which helps maximise the value that they have for the university in terms of the external use of bibliometric data e.g. league tables, post-2008 RAE

EnlightenPapers

Name: Enlighten
Location: University of Glasgow
Web: <http://www.gla.ac.uk>
Bio Research Publications by members of the University of Glasgow

0 51
Following
Followers

Updates 299

Favorites

Following

Functional and structural studies on different forms of the adenylate cyclase toxin of *Bordetella pertussis* -
<http://is.gd/1zPHN>

about 4 hours ago from AR

Institutional Drivers for Open Access

- Research Funders

- The Wellcome Trust: “supports unrestricted access to the published output of research as a fundamental part of its charitable mission and a public benefit to be encouraged wherever possible. Specifically, the Wellcome Trust: expects authors of research papers to maximise the opportunities to make their results available for free”
- Research Councils UK: “Ideas and knowledge derived from publicly-funded research must be made available and accessible for public use, interrogation and scrutiny, as widely, rapidly and effectively as practicable”

- Visibility and Impact

- Studies have shown a citation advantage for those articles which are freely accessible

- Management information

- Knowing what our researchers are doing

Repositories at Glasgow: Timeline 2001-2006

- **2001: In-house Testing**
 - Initial repository work began with ePrints software
- **2002-2005: DAEDALUS Project**
 - Received national funding to develop our repository work
- **2004: Scottish Declaration on Open Access**
 - Scottish Universities agreed to set up institutional repositories, and/or liaise with other organisations to establish a joint repository.
- **2006: Enlighten launched**
 - Our project work moved to a sustainable service called Enlighten

Repositories at Glasgow: Timeline 2006-

- 2006: University Publications Statement
 - Statement released by Vice Principal for Research “strongly encouraging” deposit
- 2007: Theses Mandate
 - Higher degree theses mandate introduced and our theses service launched, only one hardcopy now deposited
- 2008: University Publications Policy
 - New policy which requires details of all journal publications and full text where © permits
- 2009: Publications Database
 - Enlighten launched in January as University’s publication database
- 2010: Pilot research assessment exercise
 - Enlighten used as a platform for gathering publications data

University Statement – April 2006

“Glasgow University, as a signatory of the Scottish Open Access Declaration, strongly encourages authors at Glasgow University to deposit copies of their published work into the University's Institutional Repository.....The Repository is a freely available database which anyone in the world can access and is intended to be a showcase of the research undertaken at the University.”

University Publications Policy – June 2008

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Enlighten

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Publications Policy

Approved by Senate on 5 June 2008

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Approach

1. The University will maximise the impact of University publications in bibliometric analyses by ensuring that all research outputs are accurately recorded as being produced by University of Glasgow staff. There is some lack of consistency in the addresses used by authors.

The following addresses are examples of this. Taken from the Web of Science, one of the preferred bibliographic tools for the REF, these addresses appear on recent publications by University academic staff:

i. RHE Glasgow, Glasgow Reg Ctr, Glasgow, Lanark, Scotland

Publications Policy: Key Elements

- Deposit of full text (where © permits) for peer-reviewed journal articles and conference papers
- Record of bibliographic details of all publications
- Use of University of Glasgow in address for papers submitted to journals
- Encourage deposit of other content types e.g. books

Staff Concerns

- Content
 - What should be provided? How? When?
- Copyright
 - Will you ask me to break © agreements? What support is available?
- Context
 - How will this data and the full text be seen and accessed?
- Citations
 - Will the open access versions be cited rather than the publisher version? Impact on citations

Managing our Publications Policy

- Different Deposit Models (in different Colleges)
 - Self deposit by academic staff
 - Proxy deposit by administrative staff on behalf of academics
 - Fully mediated deposit (full text sent via e-mail to Library)
- Different Publication Data Models
 - Added directly into Enlighten or,
 - Imported from various sources (using DOI, Pubmed ID etc)
- Copyright Issues
 - Library staff review and check copyright and open access conditions for material
- Request a copy feature available
 - Staff can enable a request a copy option to provide restricted full text to readers

Ongoing Library Role

- Enlighten publications team of 4+ (not F/T) in Library
- Open Access advocacy activities with our four Colleges
- Clarifying and assisting researchers with © status of their publications; liaising with publishers
- Providing coversheets for OA publications which cite the details of the published version of the paper
- Running training courses for departmental staff and administrators about Open Access, Policy and Repository
- Having editorial oversight for all records and full text in Enlighten
- Providing reports on deposit and usage (Annual Report)

Enlighten: Measures of Success

- Positive reactions and support from University management, academic staff and Heads of Department for Enlighten
- Continued growth in records (14K+ added in last year)
- Continued growth in access (1m+ views in last year)
- Embedded in University's preparation for national research assessment (REF) exercise
- A Key Performance Indicator (KPI) for International Excellence in Research within University's strategy
- Publications Policy is a key platform for realising the advantages of Open Access and its impact

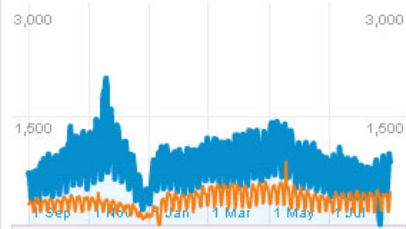
Enacting a publications policy is only the start

- Advocacy, advocacy, advocacy
 - Repeat your message to management and academic colleagues
- Relationships
 - Build and maintain good relationships with key people in the University and gain their support – and demonstrate value
- Different needs
 - Respect and accommodate different disciplines and their distinct academic requirements
- External influences
 - Use the work and decisions made by other institutions/funders to influence local change
- Systems and processes
 - Understand the research management requirements of the University and align with them



My Dashboard

Visits



Pageviews

Sep 1, 2010 - Aug 31, 2011

1,015,902

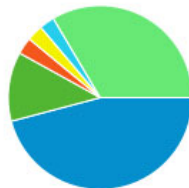
% of Total: 100.00% (1,015,902)

Sep 1, 2008 - Aug 31, 2009

304,280

% of Total: 100.00% (304,280)

Visits by Country



■ **45.86% United Kingdom**

145,401 Visits

■ **12.17% United States**

38,589 Visits

■ **2.96% China**

9,402 Visits

Source

Source	Visits	Pages/Visit
gla.ac.uk		
Sep 1, 2010 - Aug 31, 2011	19,150	2.80
Sep 1, 2008 - Aug 31, 2009	1,312	1.99
lib.gla.ac.uk		
Sep 1, 2010 - Aug 31, 2011	10,315	23.25
Sep 1, 2008 - Aug 31, 2009	4,134	22.63
en.wikipedia.org		
Sep 1, 2010 - Aug 31, 2011	2,658	1.53
Sep 1, 2008 - Aug 31, 2009	953	1.87
scholar.google.com		
Sep 1, 2010 - Aug 31, 2011	1,985	1.39
Sep 1, 2008 - Aug 31, 2009	348	1.37
scholar.google.co.uk		
Sep 1, 2010 - Aug 31, 2011	1,945	1.75
Sep 1, 2008 - Aug 31, 2009	240	1.44
scirus.com		
Sep 1, 2010 - Aug 31, 2011	1,461	1.80
Sep 1, 2008 - Aug 31, 2009	668	1.54
google.com		
Sep 1, 2010 - Aug 31, 2011	1,410	1.23
Sep 1, 2008 - Aug 31, 2009	166	1.40
mendeley.com		
Sep 1, 2010 - Aug 31, 2011	1,158	1.53
Sep 1, 2008 - Aug 31, 2009	0	0.00
google.co.uk		
Sep 1, 2010 - Aug 31, 2011	1,031	1.56

1 Sep 2010 - 31 Aug 2011

Compare to: 1 Sep 2008 - 31 Aug 2009

Visits

Sep 1, 2010 - Aug 31, 2011

317,033

% of Total: 100.00% (317,033)

Sep 1, 2008 - Aug 31, 2009

122,469

% of Total: 100.00% (122,469)

Table

Country/Territory	Visits	Bounce Rate
United Kingdom		
Sep 1, 2010 - Aug 31, 2011	145,401	67.31%
Sep 1, 2008 - Aug 31, 2009	47,298	72.57%
United States		
Sep 1, 2010 - Aug 31, 2011	38,589	82.81%
Sep 1, 2008 - Aug 31, 2009	24,138	88.32%
China		
Sep 1, 2010 - Aug 31, 2011	9,402	81.00%
Sep 1, 2008 - Aug 31, 2009	2,033	82.00%
Germany		
Sep 1, 2010 - Aug 31, 2011	9,063	76.08%
Sep 1, 2008 - Aug 31, 2009	2,885	78.58%
India		
Sep 1, 2010 - Aug 31, 2011	1,031	1.56

Find Out More

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<http://eprints.gla.ac.uk>
<http://www.lib.gla.ac.uk/enlighten/publicationspolicy>
- E-mail: william.nixon@glasgow.ac.uk
Twitter [@williamjnixon](https://twitter.com/williamjnixon)
- Blogs
<http://enlightenrepository.wordpress.com>
<http://researchoutcomes.wordpress.com>
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